

J&C Corbett Insurance  
fax: (530) 419-2019  
ph: (530) 419-2000



### APPLICATION FOR PENSION TRUST (ERISA) NAME SCHEDULE BOND

*Non-Union Plans Only  
Qualifying Assets Only*

Requested Bond Amount: \$ \_\_\_\_\_ Effective Date: \_\_\_\_\_  
(Amount applies to each fiduciary listed below)

**Is this bond required because more than 5% of the Plan assets are "non-qualifying"?**  Yes  No  
If yes, please contact our office.

Legal Name of Plan(s): \_\_\_\_\_

Type of Business: \_\_\_\_\_

Business Address: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

Total Plan Assets: \$ \_\_\_\_\_ Number of Trustees: \_\_\_\_\_ Number of Participants: \_\_\_\_\_

Each fiduciary (trustee) to be named (please print):

Name \_\_\_\_\_

Name \_\_\_\_\_

Name \_\_\_\_\_

Is the Plan audited by a CPA?  Yes  No Date of last audit: \_\_\_\_\_  
If no, why is the plan not audited? \_\_\_\_\_

Previous ERISA coverage?  Yes  No If yes, list bond carrier: \_\_\_\_\_

Has applicant experienced any claims in the past five years?  Yes  No  
(If yes, give specific details on each incident, and any changes made to prevent a reoccurrence, on a separate sheet.)

Premium payments for this new bond:  1 year bond  3 year bond

#### **COMPLETE THE FOLLOWING FOR REQUESTS OF \$500,000 AND LARGER**

What % of Plan assets are **employer securities**? \_\_\_\_\_ %  
Are Plan accounts reconciled by someone not authorized to deposit or withdraw funds?  Yes  No  
Are two (2) or more signatures required for withdrawals and larger checks?  Yes  No  
Are separate corporate trust account(s) established for the Plan assets?  Yes  No  
If yes, where are the assets held? \_\_\_\_\_

Agency	J & C Corbett Insurance Services, Inc.						
Address	609 Court St.						
	Street				CA	95695	
	Woodland			CA		95695	
	City			State		Zip	
Agent's Code	0	4	-	2	3	0	7 2

The undersigned agrees the above representations are an accurate statement of current information and procedures. This application, with Bond Declarations and Provisions, and endorsements issued to form a part thereof, constitute the entire contract.

Signature of Officer or Employer
Official Title